



March 13, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Application of : Steven Michael Grimm, Jeffery Jackiel Rothschild  
Daniel Joseph Samuel, Michael Andrew Wolf  
Serial No.: 09/997,194  
Filed: November 29, 2001  
Attorney Docket No.: 01-40337-US-C  
Title: Network Match Maker

Dear Sir:

Enclosed are the following for filing in the Response to Notice of Missing Parts in connection with the above-referenced application:

1. Response to Notice to File Missing Parts for Non-Provisional Application;
2. Copy of the Notice dated December 13, 2001;
3. Petition for a One Month Extension of Time;
4. Check in the amount of \$110.00 for one (1) month extension of time;
5. A copy of an Executed Declaration and Power of Attorney and a copy of a Supplemental Combined Declaration and Power of Attorney ;
6. Check for \$954.00 for the following:
  - \$740.00 to cover the statutory basic filing fee
  - \$84.00 for one (1) independent claim over 3
  - \$130.00 a late filing fee for non-small entity
6. Preliminary Amendment;
7. Request for Corrected Filing Receipt;
8. Check in the amount of \$25.00 for Request for Corrected Filing Receipt
7. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

**Certificate of Express Mail (37 CFR 1.10)**

I hereby certify that the attached Patent Application, and any document referred to as being attached or included, is being deposited with the "Express Mail Post Office to Addressee" service of the United States Postal Service in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231-9999 on March 13, 2002. The number of the "Express Mail" mailing label (EL939105219US) has been placed on the accompanying correspondence prior to mailing. It is therefore respectfully requested that the attached be considered as having been filed in the Office on the date shown above in accordance with the provisions of 37 C.F.R. 1.10.

Dolores A. Springfield  
(Signature of person mailing documents)

Dolores A. Springfield  
(Type or print name of person mailing documents)

2500 One Liberty Place  
1650 Market Street  
Philadelphia, PA 19103-7301  
215.851.8100  
Fax 215.851.1420

Delaware  
New Jersey  
New York  
Pennsylvania  
United Kingdom  
Virginia  
Washington, DC

Assistant Commissioner for Patents  
March 13, 2002  
Page 2

ReedSmith

The Commissioner is hereby authorized to charge any additional fees due, or credit any overpayment to Deposit Account No. 18-0586

Respectfully submitted,



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Carl H. Pierce  
Registration No. 45,730  
REED SMITH LLP  
2500 One Liberty Place  
1650 Market Street  
Philadelphia, PA 19103  
Tel. No. (215) 241-7970  
Fax: (215) 851-1420  
Attorney for Applicant(s)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/997,194	11/29/2001	2614	0.00	1719.0030004	9	20	4

## CONFIRMATION NO. 4005

## FILING RECEIPT



\*OC000000007189852\*

LOUIS M. HEIDELBERGER, ESQ.  
REED SMITH LLP  
2500 One Liberty Place  
1650 Market Street  
Philadelphia, PA 19103

Date Mailed: 12/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Steven Michael Grimm, Mountain View, CA;  
Jeffery Jackiel Rothschild, Los Gatos, CA;  
Daniel Joseph Samuel, Sunnyvale, CA;  
Michael Andrew Wolf, Mountain View, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/758,683 01/11/2001,\*  
WHICH IS A CON OF 08/821,279 03/20/1997 PAT 6,128,660  
WHICH CLAIMS BENEFIT OF 60/013,812 03/21/1996  
(\*) Data inconsistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted 12/12/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

**DOCKETED**  
12/13/01 lens

Network match maker

**Preliminary Class**

348

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 13 2002

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,194 PATENT & TRADEMARK	11/29/2001	Steven Michael Grimm	1719.0030004

CONFIRMATION NO. 4005  
**FORMALITIES LETTER**



\*OC00000007189853\*

LOUIS M. HEIDELBERGER, ESQ.  
 REED SMITH LLP  
 2500 One Liberty Place  
 1650 Market Street  
 Philadelphia, PA 19103

Date Mailed: 12/13/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

03/21/2002 BARBRAHAI 00000012 09997194

**FILED UNDER 37 CFR 1.53(b)**

01 FC:101	740.00 DP
02 FC:105	130.00 DP
03 FC:102	84.00 DP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$84.  
*■ \$84 for 1 independent claims over 3 .*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 954.**

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*A copy of this notice **MUST** be returned with the reply.*

*Dulipati Bopal*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202  
 PART 2 - COPY TO BE RETURNED WITH RESPONSE



HZ

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Steven Michael Grimm, Jeffery Jackiel Rothschild  
Daniel Joseph Samuel, Michael Andrew Wolf  
Serial No.: 09/997,194  
Filed: November 29, 2001  
Attorney Docket No.: 01-40337-US-C  
Title: Network Match Maker

### **RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION**

#### BOX MISSING PARTS

Assistant Commissioner for Patent and Trademarks  
Washington, D.C. 20231-9999

Sir:

In response to the Notice to File Missing Parts of U.S. Patent Application dated December 13, 2001, a copy of which is attached hereto, the Applicant submits the following documents in connection with the above-identified application:

1. A copy of the Notice To File Missing Parts of Application dated December 13, 2001;
2. Response to the Notice to file Missing Parts in a Non-Provisional Application;
3. A check in the amount of \$954.00 for the following:
  - \$740.00 to cover the statutory basic filing fee
  - \$84.00 for one (1) independent claim over 3
  - \$130.00 a late filing fee for non-small; and
4. Copy of executed Declaration and Power of Attorney for patent application Serial No. 08/821,279, which Serial No. 09/578,683 is a continuation of, which the present application is a continuation of, for filing in the above-referenced matter.

#### **Certificate of Express Mail (37 CFR 1.10)**

I hereby certify that the attached Patent Application, and any document referred to as being attached or included, is being deposited with the "Express Mail Post Office to Addressee" service of the United States Postal Service in an envelope addressed to Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231-9999 on March 13, 2002. The number of the "Express Mail" mailing label (EL939105219US) has been placed on the accompanying correspondence prior to mailing. It is therefore respectfully requested that the attached be considered as having been filed in the Office on the date shown above in accordance with the provisions of 37 C.F.R. 1.10.

Patricia Springfield  
(Signature of person mailing documents)

Patricia Springfield  
(Type name of person mailing documents)

The shortened statutory period expired on February 13, 2002. A separate Petition for a One Month Extension of Time is being filed concurrently herewith. With the extension, the response is due on or before March 13, 2002. Should there be any fees due and owing with respect to this response, the Commissioner is authorized to charge such fees to deposit account no. 18-0586.

Respectfully submitted,



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Carl H. Pierce  
Registration No. 45,730  
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2500 One Liberty Place  
1650 Market Street  
Philadelphia, Pennsylvania 19103  
Telephone No.: (215) 241-7970  
Facsimile No.: (215) 851-1420  
Attorney for Applicant(s)

03-15-02

Receipt  
PATENT  
#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of : Steven Michael Grimm, Jeffery Jackiel Rothschild  
Daniel Joseph Samuel, Michael Andrew Wolf  
Serial No.: 09/997,194  
Filed: November 29, 2001  
Attorney Docket No.: 01-40337-US-C  
Title: Network Match Maker

Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error in the following data, which is:

Please delete "THIS APPLN IS A CON OF 09/758,683" and insert --THIS APPLN IS A CON OF 09/578,683--.

The above correction is due to applicant's error, and accordingly a check in the amount of \$25.00 is enclosed to cover the fee under 37 C.F.R. 1.19(h).

The Commissioner is hereby authorized to charge any additional fees required or credit any overpayment in connection with this filing to Deposit Account No. 18-0586.

EXPRESS MAIL CERTIFICATE (37 CFR 1.10)

Express Mail Label No. EL939105219US

Date of Deposit: March 13, 2002

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name Dolores A. Springfield

Signature

Dolores A. Springfield

Repln. Ref: 03/21/2002 BARBARA1 0016184700  
DA#180586 Name/Number:09997194  
FC: 704 \$25.00 CR

Respectfully submitted,



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